

jc992 U.S. PTO  
05/25/01

5-29-01 A



Docket No. 1151-4167  
Express Mail No. EF308 382 003US

27123  
PATENT TRADEMARK OFFICE

AFFIX CUSTOMER NO. LABEL ABOVE

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**  
**UTILITY APPLICATION AND FEE TRANSMITTAL (1.53(b))**

jc971 U.S. PTO  
09/09/05 294  
05/25/01

COMMISSIONER FOR PATENTS  
BOX PATENT APPLICATION  
Washington, D.C. 20231

Sir:

Transmitted herewith for filing is the patent application of

Inventor(s) names and addresses:

(1) Chang Yi Wang  
47 Snake Hill Road, Cold Spring Harbor, NY 11724

Additional inventors are listed on a separate sheet

For: SYNTHETIC PEPTIDE COMPOSITION FOR THE PREVENTION OF ALZHEIMERS DISEASE

Enclosed Are:

62 page(s) of specification  
1 page(s) of Abstract  
12 page(s) of claims  
2 sheets of X Formal  Informal drawings  
6 page(s) of Declaration and Power of Attorney

Unsigned  
 Newly Executed  
 Copy from prior application  
 Deletion of inventors including Signed Statement under 37 C.F.R. §1.63(d)(2)

REQUEST AND CERTIFICATION UNDER 35 U.S.C. §122(b)(2)(B)(i) (form PTO/SB/35)

As indicated on the attached Request and Certification, Applicant(s) certify that the invention disclosed in the attached application HAS NOT and WILL NOT be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. Applicant(s) therefore request(s) that the attached application NOT be published under 35 U.S.C. §122(b).

**Incorporation by Reference:**

The entire disclosure of the prior application, from which a copy of the combined Declaration and Power of Attorney is supplied herein, is considered as being part of the disclosure of the accompanying application and is incorporated herein by reference.

Deletion of Inventors (37 C.F.R. §1.63(d) and §1.33(b))  
Signed statement attached deleting inventor(s) named in the prior application serial no. \_\_\_\_\_, filed \_\_\_\_\_.

Microfiche Computer Program (Appendix)  
 17 page(s) of Sequence Listing  
 computer readable disk containing Sequence Listing  
 Statement under 37 C.F.R. §1.821(f) that computer and paper copies of the Sequence Listing are the same

Assignment Papers (assignment cover sheet and assignment documents)  
 A check in the amount of \$40.00 for recording the Assignment  
 Charge the Assignment Recordation Fee to Deposit Account No. 13-4503,  
Order No. \_\_\_\_\_.  
 Assignment Papers filed in the parent application Serial No. \_\_\_\_\_.

Certification of chain of title pursuant to 37 C.F.R. §3.73(b)

Priority is claimed under 35 U.S.C. §119 for:  
Application No(s). \_\_\_\_\_, filed \_\_\_\_\_, in \_\_\_\_\_ (country).  
 Certified Copy of Priority Document(s) [ \_\_\_\_\_ ]  
 filed herewith  
 filed in application Serial No. \_\_\_\_\_, filed \_\_\_\_\_.  
 English translation document(s) [ \_\_\_\_\_ ]  
 filed herewith  
 filed in application Serial No. \_\_\_\_\_, filed \_\_\_\_\_.

Priority is claimed under 35 U.S.C. §119(e) for:  
Provisional Application No. \_\_\_\_\_, filed \_\_\_\_\_.

Information Disclosure Statement  
 Copy of [ \_\_\_\_\_ ] cited references  
 PTO Form-1449  
 References cited in parent application Serial No. \_\_\_\_\_, filed \_\_\_\_\_.

Related Case Statement under 37 C.F.R. §1.198(a)(2)(iii)  
 A copy of related pending U.S. Application(s) Serial No(s): \_\_\_\_\_, filed \_\_\_\_\_, respectively, is attached hereto.  
 A copy of related pending U.S. Application(s) entitled, \_\_\_\_\_, filed \_\_\_\_\_ to inventor(s) \_\_\_\_\_, respectively, is attached hereto.  
 A copy of each related application(s) was submitted in parent application serial no. \_\_\_\_\_, filed \_\_\_\_\_.

Preliminary Amendment

Return receipt postcard (MPEP 503)

This is a  continuation  divisional  continuation-in-part of prior application serial no. \_\_\_, filed \_\_\_, to which priority under 35 U.S.C. §120 is claimed.

- Cancel in this application original claims \_\_\_ of the parent application before calculating the filing fee. (At least one original independent claim must be retained for filing purposes.)
- A Preliminary Amendment is enclosed. (Claims added by this Amendment have been properly numbered consecutively beginning with the number following the highest numbered original claim in the prior application).

The status of the parent application is as follows:

- A Petition for Extension of Time and a Fee therefor has been or is being filed in the parent application to extend the term for action in the parent application until \_\_\_\_\_.
- A copy of the Petition for Extension of Time in the co-pending parent application is attached.
- No Petition for Extension of Time and Fee therefor are necessary in the co-pending parent application.

Please abandon the parent application at a time while the parent application is pending or at a time when the petition for extension of time in that application is granted and while this application is pending has been granted a filing date, so as to make this application co-pending.

Transfer the drawing(s) from the parent application to this application

Amend the specification by inserting before the first line the sentence:  
This is a continuation of co-pending application Serial No. \_\_\_, filed \_\_\_\_\_.

I. CALCULATION OF APPLICATION FEE				
	Number Filed	Number Extra	Rate	Basic Fee \$710.00/355.00
Total Claims	108- 20 =	88x	\$18.00/\$9.00	\$ 1,584.00
Independent Claims	5- 3 =	2x	\$80.00/\$40.00	\$ 160.00
X Multiple Dependent Claims		If marked, add fee of \$270.00 (\$135.00)		\$ 270.00
				TOTAL: \$ 2,724.00

X Small entity status is hereby claimed. Reduced fees under 37 C.F.R. §1.9 (f) paid herewith  
\$1,362.00.

A check in the amount of \$ \_\_\_\_\_ in payment of the application filing fees is attached.

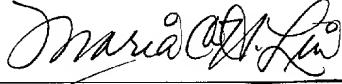
Charge fee to Deposit Account No. 13-4500 Order No. 1151-4167. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.

The Commissioner is hereby authorized to charge any additional fees which may be required for filing this application pursuant to 37 CFR §1.16, including all extension of time fees pursuant to 37 C.F.R. § 1.17 for maintaining copendency with the parent application, or credit any overpayment to Deposit Account No. 13-4500 Order No. 1151-4167 A DUPLICATE COPY OF THIS SHEET IS ATTACHED.

Respectfully submitted,  
MORGAN & FINNEGAN, L.L.P.

Dated: May 25, 2001

By:

  
Maria C.H. Lin  
Registration No. 29,323  
212-758-4800 Telephone  
212-751-6849 Facsimile

CORRESPONDENCE ADDRESS:

MORGAN & FINNEGAN, L.L.P.  
345 Park Avenue  
New York, NY 10154

Patent Application Bibliographic Data

Inventor Information

Inventor One Given Name :: Chang Yi  
Family Name :: Wang  
Postal Address :: 47 Snake Hill Road  
City :: Cold Spring Harbor  
State/Province :: New York  
Country :: United States  
Postal or Zip Code :: 11724  
City of Residence :: Cold Spring Harbor  
State/Prov. of Residence :: New York  
Country of Residence :: United States  
Citizenship :: USA

SEARCHED  
INDEXED  
MAILED  
SERIALIZED  
FILED

Correspondence Information

Name Line One :: Morgan & Finnegan L.L.P  
Name Line Two :: Maria C.H. Lin  
Address Line One :: 345 Park Avenue  
City :: New York  
State/Province :: New York  
Country :: United States  
Postal or Zip Code :: 10154-0053

Telephone : (212) 415-8745

Fax : (212) 751-6849

E-Mail :: mclin@morganfinnegan.com

Representative Information

Registration Number One :: 29,323

Application Information

Title :: IMMUNOGENIC PEPTIDE COMPOSITION FOR THE  
PREVENTION AND TREATMENT OF ALZHEIMERS DISEASE

Formal Drawings :: Yes

Application Type :: Patent

Docket Number :: 1151-4167

PATENT  
Docket No. 1151-4167

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Chang Yi Wang                          Group Art Unit: To be Assigned  
Serial No. : To Be Assigned                          Examiner: To be Assigned  
Filed : May 25, 2001  
For : **SYNTHETIC PEPTIDE COMPOSITION FOR THE PREVENTION OF  
ALTZHEIMERS DISEASE**

EXPRESS MAIL CERTIFICATE

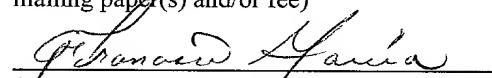
Express Mail Label No. EF 308 382 003US

Date of Deposit May 25, 2001

I hereby certify that the following attached paper(s) and/or fee

1. Utility Application and Fee Transmittal (in duplicate);
2. Patent Application (Specification of 62 pages, 12 pages of claims (1-80), 2 sheets of drawings; and 1 page Abstract);
3. Patent Application Bibliography Sheet;
4. Executed Combined Declaration and Power of Attorney;
5. Statement Under 37 CFR§ 1.821(f);
6. One Computer Readable Diskette (ASCII);and
7. Return Receipt Postcard

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

\_\_\_\_\_  
Francisco Garcia  
(Typed or printed name of person  
mailing paper(s) and/or fee)  
  
\_\_\_\_\_  
(Signature of person mailing  
paper(s) and/or fee)

CORRESPONDENCE ADDRESS:  
MORGAN & FINNEGAN, L.L.P.  
345 Park Avenue  
New York, New York 10154  
(212) 758-4800  
(212) 751-6849 Facsimile

PATENT  
Docket No. 1151-4167  
Express Mail No. EF 308 382 003US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Chang Yi Wang                          Group Art Unit : TBA  
Serial No. : To be Assigned                          Examiner : To Be Assigned  
Filed : May 25, 2001  
For : **IMMUNOGENIC PEPTIDE COMPOSITION FOR THE PREVENTION AND  
TREATMENT OF ALZHEIMERS DISEASE**

STATEMENT UNDER 37 C.F.R. §1.821(f) or §1.825(b)

Commissioner for Patents  
Washington, D.C. 20231

BOX: PATENT APPLICATION

Sir:

I hereby certify that:

The paper Sequence Listing and computer readable Sequence Listing submitted herewith are identical (37. C.F.R. §1.821(f)), and are identical to the Sequence Listing provided in the application on pages 32-54. No new matter is presented (37 C.F.R. §1.825(a)).

.  The substitute paper Sequence Listing and substitute computer readable Sequence Listing submitted herewith are identical. No new matter is included (37 C.F.R. §1.825(b)).

Respectfully submitted,  
**MORGAN & FINNEGAN, L.L.P.**

By:   
Maria C.H. Lin  
Registration No. 29,323

Dated: May 25, 2001

Mailing Address:  
MORGAN & FINNEGAN, L.L.P.  
345 Park Avenue  
New York, New York 10154  
(212) 758-4800  
(212) 751-6849 Telecopier

Express Mail Label No.  
EF 308382003US